

# Mapping of molecular gas inflow towards the Seyfert nucleus of NGC 4051 using Gemini NIFS

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## ABSTRACT

We present two-dimensional (2D) stellar and gaseous kinematics of the inner  $\sim 130 \times 180$  pc<sup>2</sup> of the Narrow Line Seyfert 1 galaxy NGC 4051 at a sampling of 4.5 pc, from near-infrared  $K$ -band spectroscopic observations obtained with the Gemini's Near-infrared Integral Field Spectrograph (NIFS) operating with the ALTAIR adaptive optics module. We have used the CO absorption bandheads around  $2.3 \mu\text{m}$  to obtain the stellar kinematics which show the turnover of the rotation curve at only  $\approx 55$  pc from the nucleus, revealing a highly concentrated gravitational potential. The stellar velocity dispersion of the bulge is  $\approx 60 \text{ km s}^{-1}$  – implying on a nuclear black hole mass of  $\approx 10^6 M_{\odot}$  – within which patches of lower velocity dispersion suggest the presence of regions of more recent star formation. From measurements of the emission-line profiles we have constructed two-dimensional maps for the flux distributions, line ratios, radial velocities and gas velocity dispersions for the H<sub>2</sub>, H I and [Ca VIII] emitting gas. Each emission line samples a distinct kinematics. The Br $\gamma$  emission-line shows no rotation as well as no blueshifts or redshifts in excess of  $30 \text{ km s}^{-1}$ , and is thus not restricted to the galaxy plane. The [Ca VIII] coronal region is compact but resolved, extending over the inner 75 pc. It shows the highest blueshifts – of up to  $-250 \text{ km s}^{-1}$ , and the highest velocity dispersions, interpreted as due to outflows from the active nucleus, supporting an origin close to the nucleus. Subtraction of the stellar velocity field from the gaseous velocity field has allowed us to isolate non-circular motions observed in the H<sub>2</sub> emitting gas. The most conspicuous kinematic structures are two nuclear spiral arms – one observed in blueshift in the far side of the galaxy (to the NE), and the other observed in redshift in the near side of the galaxy (to the SW). We interpret these structures as inflows towards the nucleus, a result similar to those of previous studies in which we have found streaming motions along nuclear spirals in ionized gas using optical IFU observations. We have calculated the mass inflow rate along the nuclear spiral arms, obtaining  $\dot{M}_{H_2} \approx 4 \times 10^{-5} M_{\odot} \text{ yr}^{-1}$ , a value  $\sim 100$  times smaller than the accretion rate necessary to power the active nucleus. This can be understood as due to the fact that we are only seeing the hot “skin” (the H<sub>2</sub> emitting gas) of the total mass inflow rate, which is probably dominated by cold molecular gas. From the H<sub>2</sub> emission-line ratios we conclude that X-ray heating can account for the observed emission, but the H<sub>2</sub>  $\lambda 2.1218 \mu\text{m}$ /Br $\gamma$  line ratio suggests some contribution from shocks in localized regions close to the compact radio jet.

**Key words:** galaxies: Seyfert – infrared: galaxies – galaxies: NGC 4051 (individual) – galaxies: kinematics

## 1 INTRODUCTION

The presence of supermassive black holes (SMBHs) at

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nowadays widely accepted by the astronomy community (Gebhardt et al. 2000; Ferrarese & Merritt 2000). According to this scenario the energy emitted by an Active Galactic Nucleus (AGN) is due to the accretion of material onto the SMBH and implies the presence of a gas reservoir close to the AGN. Simões Lopes et al. (2007) using archival Hubble Space Telescope (HST) optical images for a large sample of early-type galaxies with and without AGNs, found that all AGN hosts have circumnuclear gas and dust, while this is observed in only 26% of a pair-matched sample of inactive galaxies. A gas reservoir close to the AGN is also supported by the presence of recent star formation in the circumnuclear region of active galaxies (Schmitt, Storch-Bergmann & Cid Fernandes 1999; Boisson et al. 2000; Storch-Bergmann et al. 2000; Cid Fernandes et al. 2001, 2005; Storch-Bergmann et al. 2005). However, the strongest signatures that feeding to the SMBH is occurring include the observation of streaming motions in ionized gas along nuclear spirals towards the nucleus of nearby active galaxies using two-dimensional (2D) optical spectroscopy (Fathi et al. 2006; Storch-Bergmann et al. 2007).

Streaming motions as feeding signatures to the nuclear region have been previously observed in radio wavelengths. Adler & Westpfahl (1996), for example, have found streaming motions towards the center along the spiral arms of M81 in H I, while Mundell & Shone (1999) have found similar streaming motions towards the nucleus along the weak bar of NGC 4151. Closer to the center, most of the gas is in the molecular phase, and CO observations have been used to map the gas kinematics and inflows (e.g. García-Burillo et al. 2003; Krips et al. 2005; Boone et al. 2007).

Molecular hydrogen emission lines are also relatively strong in the near-IR *K*-band spectra of active galaxies, and previous studies suggest that its distribution and kinematics is distinct from that observed in the other emission lines, which are usually dominated by outflows (e.g. Crenshaw & Kraemer 2000; Das et al. 2005). In the Seyfert galaxies NGC 2110 and Circinus, for example, Storch-Bergmann et al. (1999) have found broader emission-line profiles for [Fe II] and Pa $\beta$  than for the H<sub>2</sub> $\lambda$ 2.1218 $\mu$ m emission-line in a study using near-IR long-slit observations, suggesting a stronger influence of nuclear outflows on the former emission lines and a different origin for the H<sub>2</sub> emitting gas, consistent with the colder kinematics of the galaxy disk. More recently, using two-dimensional (hereafter 2D) near-IR spectroscopy of the Seyfert galaxy ESO 428-G14, we (Riffel et al. 2006) have found that the H<sub>2</sub> emission distribution was mostly restricted to the plane of the galaxy and was less affected by the AGN outflow than the [Fe II] and Pa $\beta$  emission lines.

In this paper we use adaptive optics IFU spectroscopic data obtained with the Gemini’s Near-infrared Integral Field Spectrograph (NIFS - McGregor et al. 2003), in the near-IR *K*-band at a sampling of  $0''.1 \times 0''.1$ , to investigate the stellar and gaseous kinematics of the inner  $\sim 3 \times 4$  arcsec<sup>2</sup> of the nearby active galaxy NGC 4051. The stellar kinematics will be used to constrain the galaxy potential and mass of the SMBH, but our main goal is to look for signatures of feeding mechanisms at parsec scales through the H<sub>2</sub> kinematics.

NGC 4051 is a SABbc galaxy at a distance of only

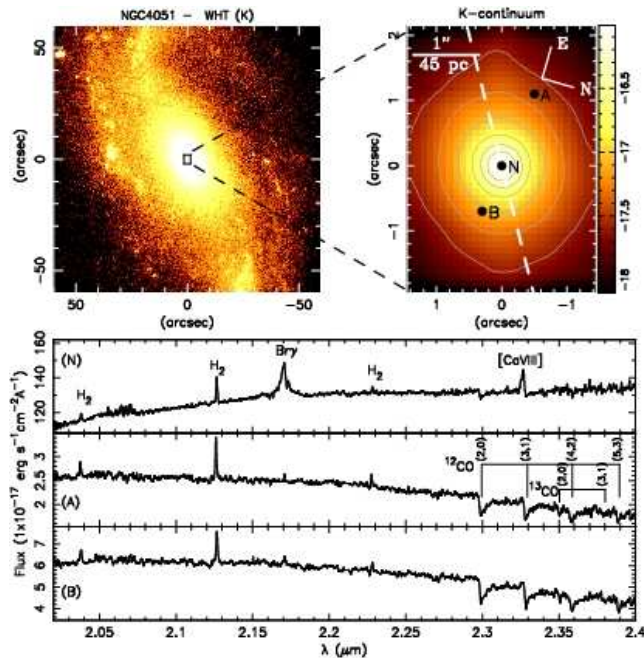
$\sim 9.3$  Mpc (Barbosa et al. 2006), such that  $1''.0$  corresponds to 45 pc at the galaxy. It harbors one of the closest AGN, classified as a Narrow-Line Seyfert 1 (NLS1). We have selected NGC 4051 for this study partially on the basis of the recent work of Barbosa et al. (2006), who obtained 2D stellar kinematics using the IFU of the Gemini Multi-Object Spectrograph (GMOS) to observe the stellar absorption lines of the Calcium triplet around 8500 Å. These authors have shown that the turnover of the stellar rotation curve occurs at only  $R \sim 50$  pc from the nucleus, indicating that the stellar motions are dominated by a highly concentrated gravitational potential. As NIFS with the ALTAIR adaptive optics module provides a much better image sampling and resolution than the GMOS-IFU, we decided to further investigate the kinematics of the nuclear region of NGC 4051 in order to better constrain the gravitational potential and the mass of the SMBH. In addition, this galaxy shows strong H<sub>2</sub> emission (Riffel, Rodríguez-Ardila & Pastoriza 2006), making it a good candidate for a study of its kinematics.

Previous studies of NGC 4051 include HST narrow-band [O III] images which show an unresolved nuclear source and faint extended emission (by  $1''.2$ ) along the position angle PA=100° (Schmitt & Kinney 1996), the approximate direction of the alignment of two radio components at 6 cm separated by  $0''.4$  (Ulvestad & Wilson 1984). Veilleux (1991) reported that the profiles of optical forbidden emission lines present blue wings reaching velocities of up to 800 km s<sup>-1</sup>, and proposed a model for the narrow-line region (hereafter NLR) with outflows and obscuring dust. Evidence for outflows have also been observed by Christopoulou et al. (1997) for the [O III] emission to  $1''.5$  NE of the nucleus. Nagao, Taniguchi & Murayama (2000) using the [Fe X] $\lambda$ 6374 emission line report a high ionization region extending to  $3''.0$  SE of the nucleus. Lawrence et al. (1985) found a X-ray variability on time scales of the order of 1 hour and Salvati et al. (1993) reported flux changes by a factor of 2 in 6 months observed at 2.2  $\mu$ m and suggest that emission by dust reprocessing of the UV radiation from the nucleus is an acceptable explanation. Ponti et al. (2006) modelled the nuclear emission by two power law components, one due to the AGN and other due to reflection by the accretion disk.

This paper is organized as follows: in section 2 we describe the observations and data reduction. In section 3 we present the results for the stellar kinematics. In section 4 we present the emission-line flux distributions and in section 5 we present the results for the gas kinematics. In section 6 we discuss the results and in section 7 we present the conclusions of this work.

## 2 OBSERVATIONS AND DATA REDUCTION

The IFU spectroscopic data were obtained with NIFS operating with the ALTAIR adaptive optics module on the 8-m Gemini North telescope in January 2006 under the instrument science verification program GN-2006A-SV-123. The IFU has a square field of view of  $\approx 3''.0 \times 3''.0$ , divided into 29 slices with an angular sampling of  $0''.1 \times 0''.04$ . The observing procedures followed the standard Object-Sky-Sky-Object dither sequence, with off-source sky positions since the target is extended, and individual exposure times of 750 s



**Figure 1.** Top left: WHT K-band large scale image of NGC 4051 from Knapen et al. (2003). The image has been rotated to the same orientation of the NIFS observations. The box represents the IFU field of view. Top right: 2.12  $\mu\text{m}$  continuum map from IFU spectroscopy. Bottom: Spectra at the positions N, A and B marked at the top-right panel with the emission lines and CO absorption bandheads identified.

centered at  $\lambda = 2.2499 \mu\text{m}$ . Two set of observations, each with three individual exposures, were obtained at different spatial positions; the first one centered at the position  $0''.4$  from the nucleus along the  $\text{PA} = -74^\circ$  and the second at a position offset  $0''.5$  along  $\text{PA} = 106^\circ$ . The longest extent covered by the IFU observations was oriented along the position angle  $\text{PA} = 106^\circ$ , which corresponds to the orientation of the line of nodes derived by modelling of the stellar velocity field with a rotating disk (Barbosa et al. 2006). We have used the K\_G5605 grating and the filter HK\_G0603, which resulted in an arc lamp line full width at half maximum (FWHM) of  $3.2 \text{ \AA}$ .

The data reduction was accomplished using tasks contained in the NIFS package which is part of GEMINI IRAF package as well as generic IRAF tasks. The reduction procedure included trimming of the images, flat-fielding, sky subtraction, wavelength and s-distortion calibrations. We have also removed the telluric bands and flux calibrated the frames by interpolating a black body function to the spectrum of the telluric standard star. The final IFU data cube contains 1160 spectra, each spectrum corresponding to an angular coverage of  $0''.1 \times 0''.1$ , which translates into  $4.5 \times 4.5 \text{ pc}^2$  at the galaxy and covering the spectral region from  $1.991 \mu\text{m}$  to  $2.425 \mu\text{m}$ . The total observed field of view  $2''.9 \times 4''.0$  (obtained by mosaicing the two set of observations) thus corresponds to a region of projected dimensions  $130 \text{ pc} \times 180 \text{ pc}$  at the galaxy.

In the top-left panel of Fig. 1 we present a large scale K-band image from Knapen et al. (2003) obtained at the William Herschel Telescope (WHT). The central rectangle shows the IFU field of view. The large scale image was ro-

tated to the same orientation of the IFU observations. In the top-right panel we present an image obtained from the NIFS data cube for the  $2.12 \mu\text{m}$  continuum emission, obtained by interpolation of the continuum under the  $\text{H}_2 \lambda 2.1218 \mu\text{m}$  emission line. In the bottom panels we present three characteristic IFU spectra: the nuclear spectrum (position N in the continuum map), a spectrum from a location at  $1''.2$  E of the nucleus (position A) and another from  $0''.75$  W of the nucleus (position B). Both spectra correspond to an aperture of  $0''.3 \times 0''.3$ . The emission lines are identified in the nuclear spectrum: the  $\text{H}_2$  lines at  $\lambda = 2.0338, 2.1218$  and  $2.2235 \mu\text{m}$ , the  $\text{H I Br}\gamma$  at  $2.1661 \mu\text{m}$  and the  $[\text{Ca VIII}]$  coronal emission line at  $2.3211 \mu\text{m}$ . The  $^{12}\text{CO}$  and  $^{13}\text{CO}$  absorption bandheads used to obtain the stellar kinematics are identified in the spectrum from position A.

### 3 LINE OF SIGHT VELOCITY DISTRIBUTION

In order to obtain the line-of-sight velocity distribution (LOSVD) we have used the penalized Pixel-Fitting (pPXF) method of Cappellari & Emsellem (2004) to fit the stellar absorptions present in the K-band spectra. The algorithm finds the best fit to a galaxy spectrum by convolving a template stellar spectrum with the corresponding LOSVD. This procedure gives as output the radial velocity, velocity dispersion and higher-order Gauss-Hermite moments. The pPXF method allows the use of several template stellar spectra and to vary the weights of the contribution of the different templates to obtain the best fit, minimizing the template mismatch problem. However, the use of pPXF requires templates which match closely the galaxy spectrum. Emsellem et al. (2004) present a extensive discussion about the use of the pPXF method and the templates mismatch problem and Silge & Gebhardt (2003) present a discussion about template mismatch for kinematic fitting using the CO absorption bandheads in the near-IR.

A high signal-to-noise ratio is required for reliable stellar kinematic measurements using the pPXF method. In previous works the data are usually binned to give S/N ratio between 40 and 60 over the whole field-of-view. In our data, ratios smaller than these are observed only very close to the borders of the field ( $\text{S/N} \approx 35$  measured blueward of the first CO band-head). Our typical S/N ratios are 80 with maximum values reaching 120 close to the nucleus, thus allowing reliable kinematic measurements without spatial binning of the data.

#### 3.1 The stellar templates

In this study we have selected as template spectra, those of the spectroscopic library of late spectral type stars observed with the Gemini Near Infrared Spectrograph (GNIRS) IFU using the grating 111 l/mm in the K-band (Winge, Riffel & Storchi-Bergmann 2007). This library is composed of spectra of 29 objects, which include dwarf, giant and sub-giant stars with spectral types from F7 III to M3 III, observed in the spectral range from  $2.24$  to  $2.42 \mu\text{m}$ . Of these, 23 stars were also observed on a second setting extending the wavelength coverage down to  $2.15 \mu\text{m}$ . The spectra of the templates have signal-to-noise ratios

larger than 50 blueward of the CO 2-0 first-overtone band-head. Detailed discussion about this library is presented by Winge, Riffel & Storchi-Bergmann (2007). The spectral resolution of the stellar spectra is  $3.3 \text{ \AA}$  – very close to the spectral resolution of the NIFS data, thus the library can be used to fit the stellar kinematics without any resolution correction. We have verified this in some tests using available NIFS spectra of a few stars. We opted to use the GNIRS library because there are too few available template spectra with NIFS. We have chosen 16 stars from the library which better match the stellar features of NGC 4051.

In order to investigate the influence of the stellar templates on the velocity dispersions obtained we have fitted the stellar kinematics using individual stars as templates. We observed that the large scale structures in  $\sigma$  maps are similar for all stars, but the mean  $\sigma$  values vary significantly – higher EWs in the templates result in lower  $\sigma$  values for the galaxy. This result evidences the importance of using a large library of stellar templates in order to obtain reliable velocity dispersion measurements.

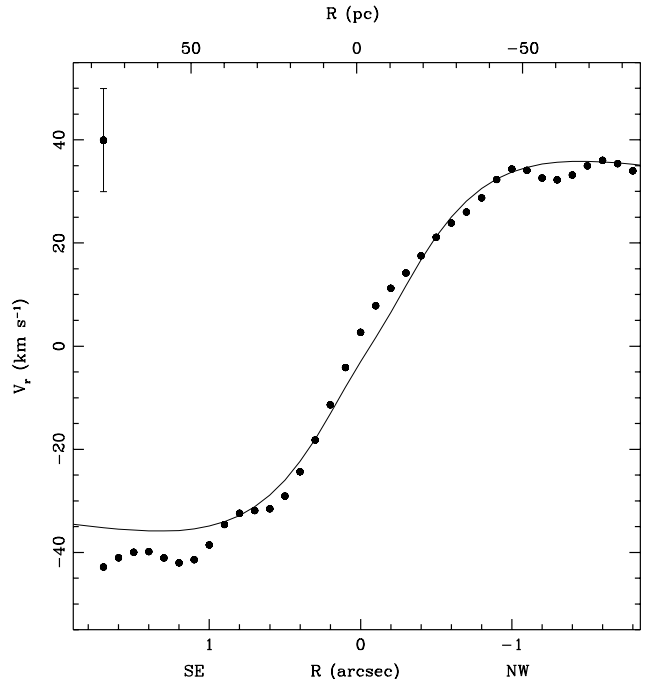
### 3.2 The effect of the [Ca VIII] coronal line

The nuclear spectrum of NGC 4051 (Fig. 1) shows the coronal emission line of [Ca VIII] at  $2.3211 \mu\text{m}$ . Davies et al. (2006) have shown that this line affects the kinematic measurements obtained from the CO bandheads. In order to investigate the influence of this line in our measurements, we have chosen two spectral regions to fit the stellar kinematics: the first one ( $2.258\text{--}2.372 \mu\text{m}$ ) includes the [Ca VIII] line and the second ( $2.258\text{--}2.314 \mu\text{m}$  and  $2.346\text{--}2.372 \mu\text{m}$ ) excludes this line (together with the “contaminated” CO bandhead). We observed that the radial velocities and velocity dispersions derived using the first spectral range are higher in the nuclear region, where the [Ca VIII] emission line is present, than those obtained by using the second spectral region. We thus decided to exclude the contaminated  $^{12}\text{CO}$  3-1 band-head from the stellar kinematics fitting in the region where the [Ca VIII] emission line is present (the central  $0''.8$  radius). In regions away from the nucleus we used the first spectral range which includes the  $^{12}\text{CO}$  3-1 bandhead.

### 3.3 The stellar kinematics

In Fig. 2 we show the fits of the stellar templates to the galaxy spectra with the program pPXF for four different positions: the nucleus;  $1''.2$  SE and  $1''.2$  NW of the nucleus, where we observe the turnovers of the rotation curve and a location at  $1''.5$  E of the nucleus, almost at the border of the NIFS field. We observe that the stellar templates fit very well the galaxy spectra at most positions, including regions near the border of the IFU field, where the signal-to-noise ratio is smaller. The results for the nucleus should nevertheless be considered with caution, as the fitting may have been affected by dust emission and emission lines present in the galaxy spectrum but absent in the stellar templates.

In Fig. 3 we present the resulting stellar kinematics. The black regions in this figure are masker regions where the signal to noise ratio in the spectra was too low to provide a reliable fit. In the top-left panel we show the stellar velocity field, which shows a velocity range from  $\approx -40$  to



**Figure 4.** One dimensional stellar rotation curve for a pseudo-slit oriented along the major axis of the galaxy. The points are the observed radial velocities and the full line is the modeled velocities.

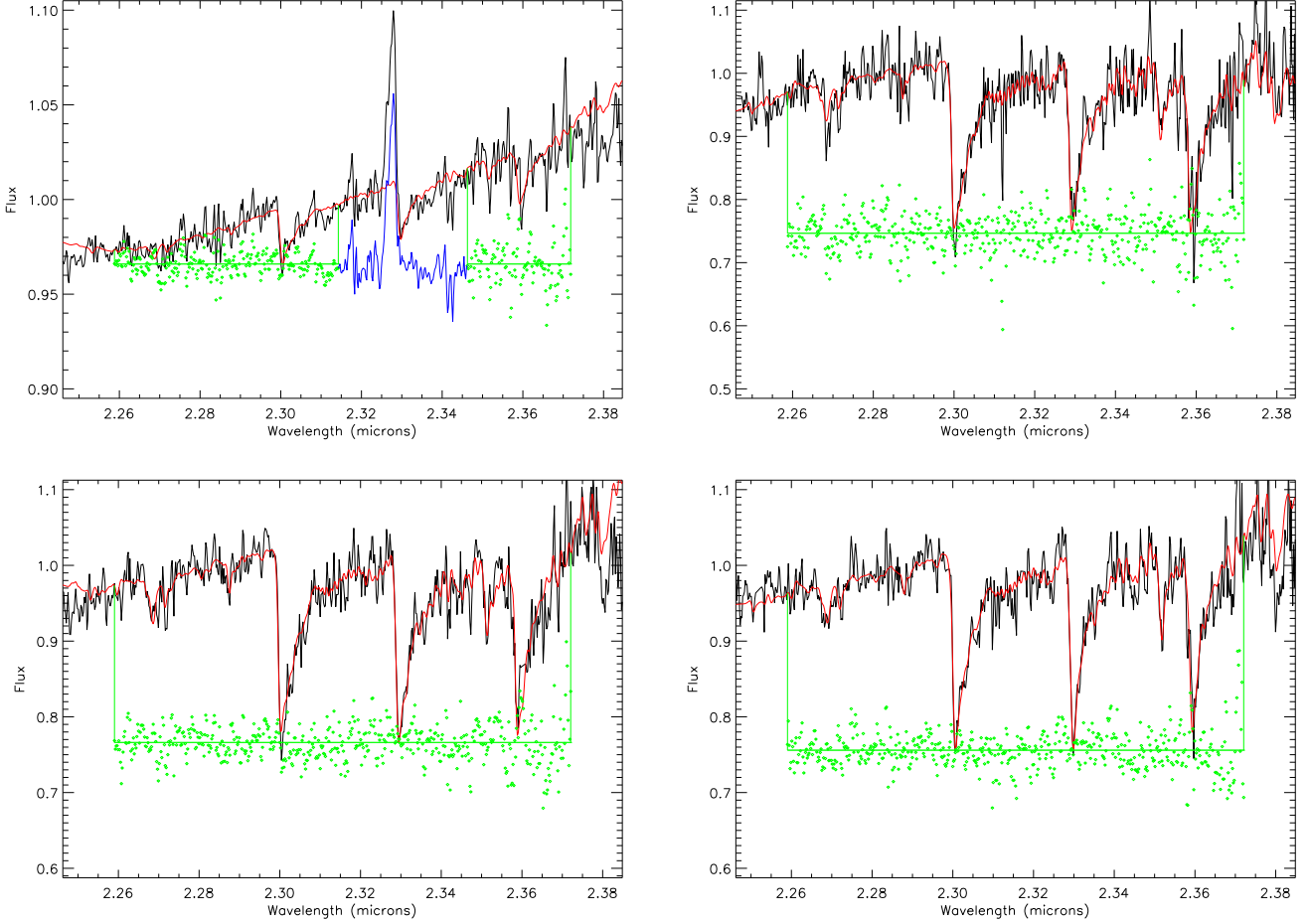
$\approx 40 \text{ km s}^{-1}$  with the turnover occurring at  $\approx 55 \text{ pc}$  from the nucleus in good agreement with the velocity field obtained by Barbosa et al. (2006) from optical IFU data. The mean uncertainties in the velocities are  $\approx 10 \text{ km s}^{-1}$ . The turnover and amplitude of the rotation curve can be more easily observed in the one dimensional cut of the stellar velocity field shown in Fig. 4. The one dimensional rotation curve was obtained by the average of the velocities within a pseudo-slit with  $1 \text{ arcsec}$  width oriented along the major axis of the galaxy.

The stellar velocity dispersion map is shown in the top-right panel of Fig. 3. The  $\sigma$  map presents values ranging from  $\approx 35$  to  $\approx 90 \text{ km s}^{-1}$ , with mean uncertainties of  $\approx 8 \text{ km s}^{-1}$ . The bottom panels show the higher order Gauss-Hermite moments  $h_3$  (left) and  $h_4$  (right). These moments measure deviations of the line profile from a Gaussian: the parameter  $h_3$  measures asymmetric deviations and the  $h_4$  measure symmetric deviations (van der Marel & Franx 1993). The values  $h_3$  and  $h_4$  vary from  $-0.15$  to  $0.15$  with mean uncertainties of  $0.03$ . The highest values of  $h_3$  are observed to SE of the nucleus and the lowest values to NW of the nucleus. The  $h_4$  values are nearly zero over most of the IFU field.

### 3.4 Kinematic Modelling

Since the stellar velocity field is dominated by rotation, it was fitted with a velocity model produced by a Plummer potential in order to obtain the systemic velocity, orientation of the line of nodes, bulge mass and the position of the kinematical center. The Plummer potential is given by:

$$\Phi = -\frac{GM}{\sqrt{r^2 + a^2}}, \quad (1)$$



**Figure 2.** Sample fits of the stellar kinematics of the nuclear region of NGC 4051 using pPXF. Top left: fit of the nuclear spectrum; top right: fit of the spectrum at  $1''.5$  E of the nucleus; bottom left: fit of the spectrum at the location corresponding to the blue turnover of the rotation curve at  $1''.2$  SE of the nucleus; bottom-right: fit of the spectrum at the position of the red turnover of the rotation curve at  $1''.2$  NW of the nucleus. The observed spectra are shown in black, the fits in red, the residuals in green, while in blue we show the spectral range not included in the fit of the central region.

where  $a$  is a scale length,  $r$  is the radial distance in the plane of the galaxy,  $M$  is the mass inside  $r$  and  $G$  is the Newton's gravitational constant. Defining the coordinates of the kinematical center of the system as  $(X_0, Y_0)$ , the observed radial velocity at position  $(R, \Psi)$ , where  $R$  is the projected radial distance from the nucleus in the plane of the sky and  $\Psi$  is the corresponding position angle, is given by (Barbosa et al. 2006):

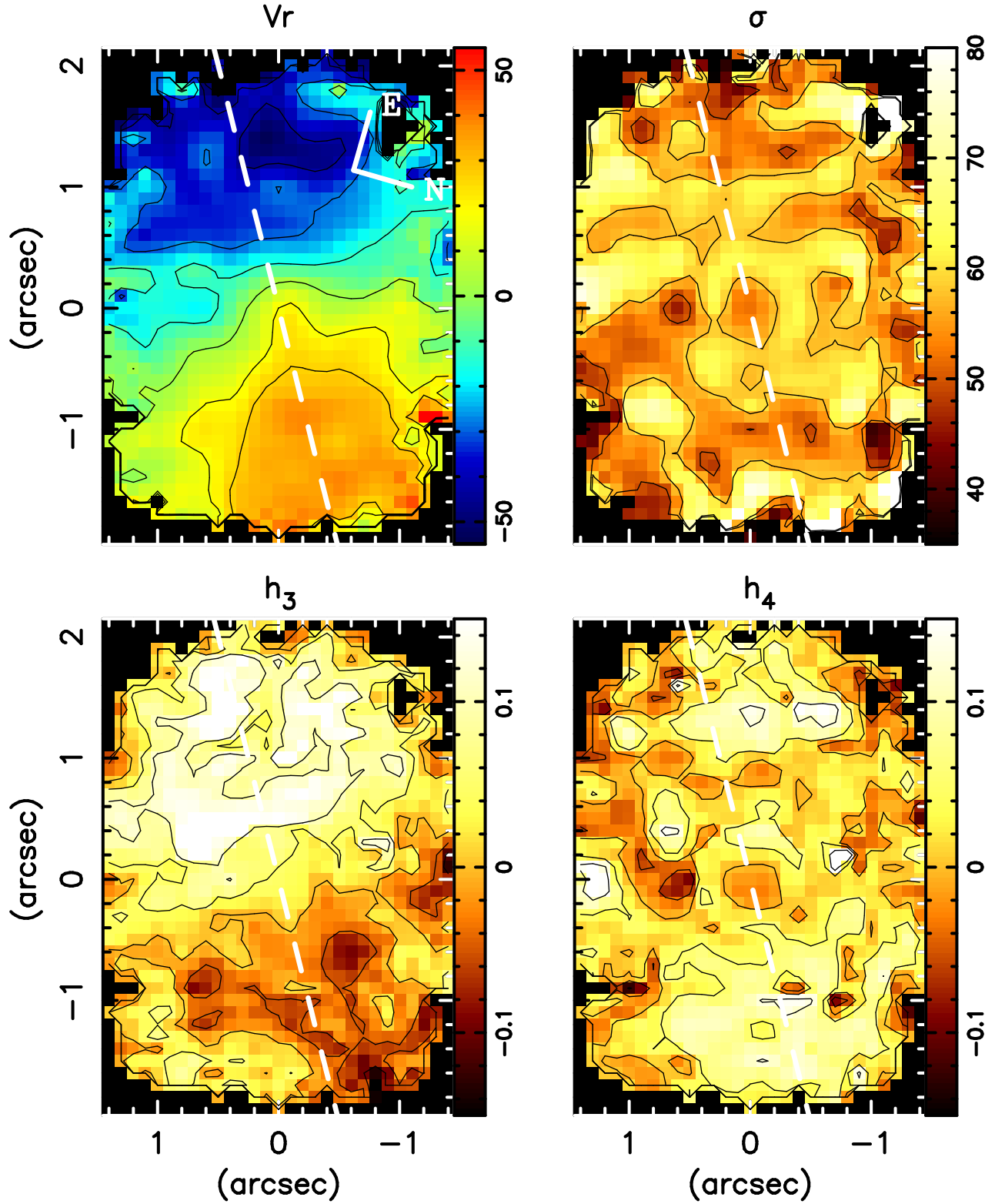
$$V_r = V_s + \sqrt{\frac{R^2 G M}{(R^2 + A^2)^{3/2}}} \frac{\sin(i) \cos(\Psi - \Psi_0)}{\left( \cos^2(\Psi - \Psi_0) + \frac{\sin^2(\Psi - \Psi_0)}{\cos^2(i)} \right)^{3/4}} \quad (2)$$

where  $V_s$  is the systemic velocity,  $i$  is the inclination of the disk ( $i = 0$  for face on disk) and  $\Psi_0$  is the position angle of the line of nodes. The relations between  $r$  and  $R$ , and between  $a$  and  $A$  are:  $r = \alpha R$  and  $a = \alpha A$ , where  $\alpha = \sqrt{\cos^2(\Psi - \Psi_0) + \frac{\sin^2(\Psi - \Psi_0)}{\cos^2(i)}}$ . The equation above contains six free parameters, including the kinematical center, which can be determined by fitting the model to the observations. This was done using a Levenberg-Marquardt least-squares fitting algorithm, in which initial guesses are given

for the free parameters. As the inclination of the disk is tightly coupled with  $M$  as  $V_r^2 \propto M \sin(i)$ , it cannot be left as a free parameter. We have adopted the value of  $i = 41.4^\circ$ , an estimate obtained from  $\cos(i) = \frac{b}{a}$ , where  $b$  and  $a$  are the semi-minor and semi-major axis of the large scale disk as quoted in the NASA/IPAC Extragalactic Database (NED) for this galaxy.

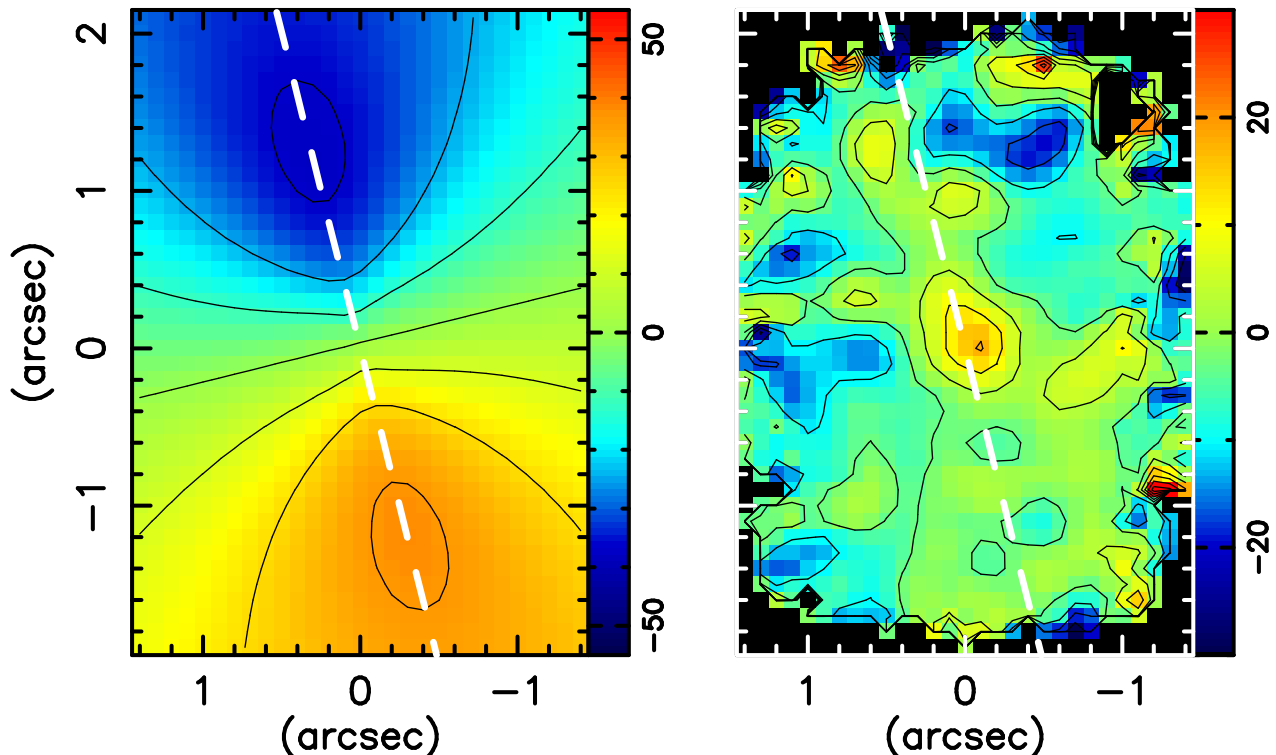
The parameters derived from the fit are: the systemic velocity corrected by the observatory motion relative to the local standard of rest  $V_s = 716 \pm 11 \text{ km s}^{-1}$ ,  $\Psi_0 = 120^\circ \pm 1^\circ$ ,  $M = 7.7 \pm 0.6 \times 10^7 M_\odot$  and  $A = 39.7 \pm 2.7 \text{ pc}$ . The derived kinematical center is very close to the peak of the continuum emission, with  $X_0 = 4.9 \pm 1.4 \text{ pc}$  and  $Y_0 = 3.1 \pm 1.2 \text{ pc}$ , where  $X_0$  and  $Y_0$  are measured in relation to the location corresponding to the peak of the continuum.

In Fig. 5 we present the derived rotation model in the left panel and the residuals of the stellar velocity field (observed minus modelled) in the right panel. We conclude that the stellar velocity field is well described by the Plummer potential – the residuals are close to zero over most of the IFU field. The highest residuals ( $\sim 20 \text{ km s}^{-1}$ ) are observed within  $\sim 0''.3$  from the nucleus, where the stellar kinemat-



**Figure 3.** Stellar kinematic maps obtained from the pPXF fit. Top: radial velocity (left) and velocity dispersion (right) maps. Bottom:  $h_3$  and  $h_4$  Gauss-Hermite moments. The mean uncertainties are  $10 \text{ km s}^{-1}$  for radial velocity,  $8 \text{ km s}^{-1}$  for  $\sigma$ , and  $0.03$  for  $h_3$  and  $h_4$ . The dashed lines show the position of the line of nodes.





**Figure 5.** Rotating disk model for the stellar kinematics of NGC 4051 (left) and residual map – observed velocity field less model (right). The dashed lines marks the position of the line of nodes.

ics fitting may have been affected by emission by dust and emission lines.

#### 4 EMISSION-LINE FLUX DISTRIBUTIONS

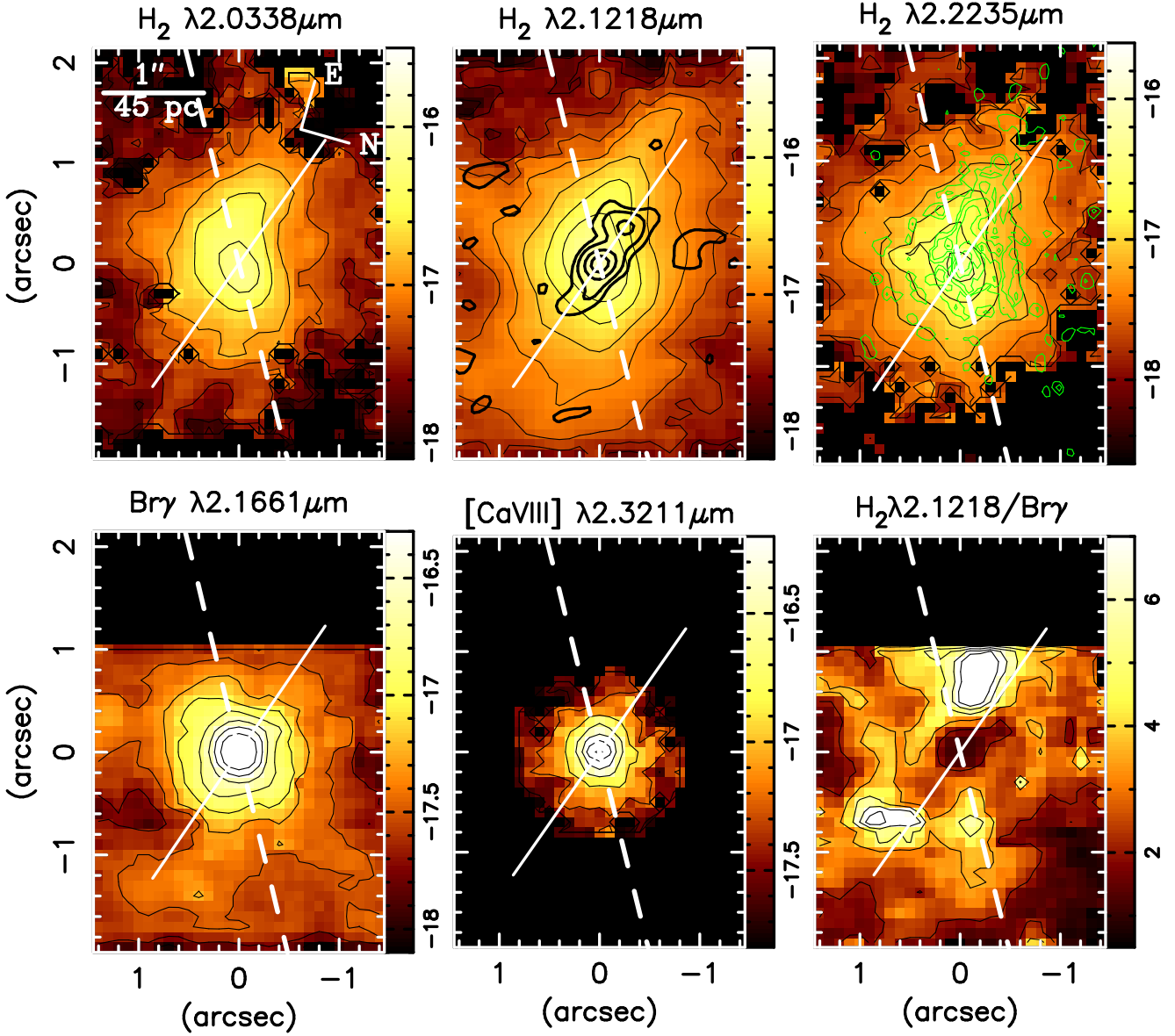
We have fitted Gaussians to the observed emission-line profiles in order to obtain the integrated flux, radial velocity (from the central wavelength of the line) and velocity dispersion (from the width of the line). The corresponding flux maps are shown in Fig. 6. The  $\text{H}_2$   $\lambda = 2.0338$ ,  $2.1218$  and  $2.2235 \mu\text{m}$  flux distributions are presented in the top left, middle and right panels, respectively, with mean uncertainties of 16%, for the first one, 5% for the second one and 9% for the third. Black regions identify locations where the line fitting failed due to low signal to noise ratios. The molecular hydrogen emission is extended over most of the observed field. The highest flux values are observed at the nucleus, defined as the location of the peak of the continuum emission. The  $\text{H}_2$  distribution is extended towards the NE, between the direction of the radio axis (adopted as the one which connects the two radio peaks) and the line of nodes. The  $\text{H}_2$  flux distribution shows also a good agreement with the  $[\text{O III}]$  narrow band image from Schmitt & Kinney (1996), whose contours are overplotted in green on the top right panel of Fig. 6.

The flux map in the  $\text{Br}\gamma$  narrow component is shown in the bottom left panel of Fig. 6. In the central region ( $r < 25 \text{ pc}$ ) we have fitted two Gaussian components in order to separate the narrow and broad emission line contributions, while in regions further away from the nucleus a single Gaussian was enough for the fit. The signal-to-noise ratio in

the top  $\sim 1''$  of the observed field was not high enough to allow the measurement of this line and this is why the region appears black in Fig. 6. The mean flux uncertainty for  $\text{Br}\gamma$  is 24%. The  $\text{Br}\gamma$  flux distribution has the highest flux values at the nucleus and is approximately symmetric around the nucleus, not showing any elongation as observed in the  $\text{H}_2$  emitting gas.

The flux map of the  $[\text{Ca VIII}] \lambda 2.3211 \mu\text{m}$  coronal emission line is presented in the bottom middle panel, where the mean flux uncertainties are 15%. The  $[\text{Ca VIII}]$  emission peaks at the nucleus and is resolved, extending up to  $0''.8$  from the nucleus, which corresponds to a projected distance of 36 pc at the galaxy.

The line ratio  $\text{H}_2 \lambda 2.1218 \mu\text{m} / \text{Br}\gamma$  (only narrow component for  $\text{Br}\gamma$ ) can be used as a diagnostic for the excitation mechanism of the molecular hydrogen emission lines (eg. Riffel et al. 2006), with higher  $\text{H}_2/\text{Br}\gamma$  ratios being interpreted as a larger contribution from shocks or X-ray to the  $\text{H}_2$  excitation. We present this line ratio map in the bottom-right panel of Fig. 6. The lowest values are  $\text{H}_2 \lambda 2.1218 \mu\text{m} / \text{Br}\gamma \approx 1$  observed at the nucleus and to the N. The highest values reach  $\text{H}_2 \lambda 2.1218 \mu\text{m} / \text{Br}\gamma \approx 8$  and are observed predominantly in two regions, one approximately  $1''.0$  W of the nucleus and another at  $0''.8$  E of the nucleus. We note that these two regions are close to the tips of the compact 3.6 cm radio structure (black contours in the top middle panel). Nevertheless, such a direct interpretation of this map should be considered with caution, as the flux distributions and kinematics (see next section) are obviously different for the  $\text{H}_2$  and  $\text{Br}\gamma$  emission lines, implying that may originate in different regions of the galaxy.



**Figure 6.** Top) From left to right: H<sub>2</sub>  $\lambda=2.0335$ , 2.1218 and 2.2235  $\mu\text{m}$  flux maps; Bottom) left: Br $\gamma$  flux distributions; middle: [Ca VIII]  $\lambda 2.3211\mu\text{m}$  flux distributions and right: H<sub>2</sub>  $\lambda 2.1218\mu\text{m}$  / Br $\gamma$  line ratio. The thick black contours overlaid to the H<sub>2</sub>  $\lambda 2.1218\mu\text{m}$  intensity map are from the VLA radio 3.6 cm continuum image, thin black lines are isointensity contours for each panel and the green contours are from an [O III] narrow-band image from HST. The spatial scale and orientation shown at the top-left panel are the same for all panels. The dashed white line represent the line of nodes of the stellar velocity field and the full white line represent the PA which connects the two radio emission peaks.

## 5 GAS KINEMATICS

In the left panels of Fig. 7 we present the radial velocity field obtained from the central wavelengths of the H<sub>2</sub>  $\lambda 2.1218\mu\text{m}$  and Br $\gamma$  emission lines, with mean uncertainties of  $4\text{ km s}^{-1}$  and  $9\text{ km s}^{-1}$ , respectively. We chose the H<sub>2</sub>  $\lambda 2.1218\mu\text{m}$  line to represent the H<sub>2</sub> velocity as it is stronger and thus present smaller uncertainties in the measurements than the other H<sub>2</sub> emission lines. The systemic velocity of the galaxy, derived from the stellar kinematics modelling, has been subtracted from all the emission line velocity plots.

The H<sub>2</sub> velocity field shows a “rotation pattern” similar to that of the stars, with the NW side receding and the SE side approaching, although it is quite clear that there are

other important kinematic components, evidenced by large deviations from simple rotation. Particularly conspicuous is a blueshifted region to the NE, showing velocity values up to  $\approx -100\text{ km s}^{-1}$ , extending by  $\sim 1''$  from the nucleus. The Br $\gamma$  velocity field shows no rotation and the total velocity range is only  $\approx 50\text{ km s}^{-1}$ .

In the right panels of Fig. 7 we present the velocity dispersion ( $\sigma$ ) maps obtained from measurements of the FWHM of the emission lines, such that  $\sigma = \frac{\text{FWHM}}{2.355}$ . The  $\sigma$  values were corrected for the instrumental broadening and the mean uncertainties are 6% for H<sub>2</sub> and 22% for Br $\gamma$ . The H<sub>2</sub>  $\sigma$  map has values in the range  $\sim 40\text{--}100\text{ km s}^{-1}$ . A partial ring of low velocity dispersion values ( $\sigma \approx 45\text{ km s}^{-1}$ )



is observed surrounding the nucleus, while higher values ( $80 \leq \sigma \leq 100 \text{ km s}^{-1}$ ) are observed over most of the remaining field. The  $\text{Br}\gamma$   $\sigma$  map presents the highest values of up to  $\approx 100 \text{ km s}^{-1}$  at the nucleus – but there are uncertainties in these  $\sigma$  values due to the broad component contribution – and at  $\approx 0.8 \text{ arcsec}$  to the SW, close to the western tip of the  $3.6 \text{ cm}$  radio structure. At positions away from the nucleus the  $\text{Br}\gamma$   $\sigma$  is lower than  $40 \text{ km s}^{-1}$ .

### 5.1 Gas “Tomography”

The high spectral resolution of the data has allowed us to slice the emission-line profiles of  $\text{H}_2 \lambda 2.1218 \mu\text{m}$  and  $[\text{Ca VIII}] \lambda 2.3211 \mu\text{m}$  into a sequence of velocity bins. With this “tomography” technique, we can sample the kinematics along the whole emission-line profile, including the wings. In order to obtain the “tomography” images, we resampled the spectra into bins of  $1 \text{ \AA}$  with the `SCOMBINE` `IRAF` task and then combined the two sets of observations into a single data cube using the tasks `SCOMBINE` and `IMCOMBINE`. The velocity slices were obtained after subtraction of the continuum determined as averages of the fluxes from both sides of the emission line. The slices correspond to velocity bins of  $\approx 42 \text{ km s}^{-1}$  ( $3 \text{ \AA}$ ) and are shown in Figs. 8 and 9. In these figures, each panel presents flux levels in logarithmic units for the velocity slice shown. The zero velocity is adopted as the systemic velocity of the stars obtained from the stellar kinematic modelling.

The slices trace the gas from negative (blueshifts) to positive (redshifts) velocities relative to the systemic velocity of the stars. For  $\text{H}_2$ , the highest blueshifts, which reach  $\approx -190 \text{ km s}^{-1}$ , are observed predominantly to the NE along a curved elongated structure similar to the one observed in the radial velocity map (top-left panel of Fig. 7). This structure – whose morphology in Fig. 8 can be described as a curved arm – dominates the emission from  $\approx -190$  to  $\approx -60 \text{ km s}^{-1}$ . At velocities close to systemic, the emission is approximately symmetric and dominated by a region of  $\approx 1''$  radius centered at the nucleus. As the slices reach positive velocities, the dominant structure is another curved arm extending to  $\approx 2''$  to the W of the nucleus. The highest redshifts reach  $\approx 160 \text{ km s}^{-1}$ .

For  $[\text{Ca VIII}]$ , we show in Fig. 9 the highest velocity bins and exclude a few low velocity bins where the kinematics are similar. The highest blueshifts reach  $\approx -250 \text{ km s}^{-1}$ , which are higher than those observed for the  $\text{H}_2$  emitting gas, while the highest redshifts reach velocities of  $170 \text{ km s}^{-1}$  similar to the ones observed for  $\text{H}_2$ .

We opted not to show slices in the  $\text{Br}\gamma$  emission-line profile due to the fact that its narrow component is indeed narrow and quite symmetric, and close to the nucleus it is hard to deblend it from the broad line profile, which introduces too much uncertainty in the derived kinematics.

## 6 DISCUSSION

### 6.1 Stellar Kinematics

As observed in the top-left panel of Fig. 3 and in Fig. 4 the turnover of the rotation curve occurs at only  $\approx 55 \text{ pc}$  from the nucleus, suggesting that the stellar motions are dominated

by a highly concentrated gravitational potential. This is also supported by the small value obtained for the scale length ( $A = 39.7 \text{ pc}$ ) from the modelling of the velocity field. The derived parameters are in approximate agreement with the ones derived by Barbosa et al. (2006) from a similar modelling using optical IFU data for a field-of-view of  $\approx 7'' \times 5''$  ( $315 \times 225 \text{ pc}^2$ ). The exception is our value of  $\Psi_0 = 120^\circ$ , which is  $\sim 13^\circ$  larger than theirs. On the other hand, our  $\Psi_0$  is  $\sim 13^\circ$  smaller than the one derived by Dumas et al. (2007) from stellar kinematics obtained over the much larger field-of-view of  $\approx 50'' \times 40''$  ( $2250 \times 1800 \text{ pc}^2$ ). We attribute these differences to the differences in field-of-view, considering also that our field-of-view, which corresponds to  $130 \times 180 \text{ pc}^2$  at the galaxy, is only sampling the stellar kinematics very close to the nucleus.

For an orientation of the line of nodes of  $\Psi_0 = 120^\circ$ , and assuming that the spiral arms of NGC 4051 are trailing (orientation of the arms is shown in Fig. 1), we conclude that the NE is the far side and the SW is the near side of the galaxy.

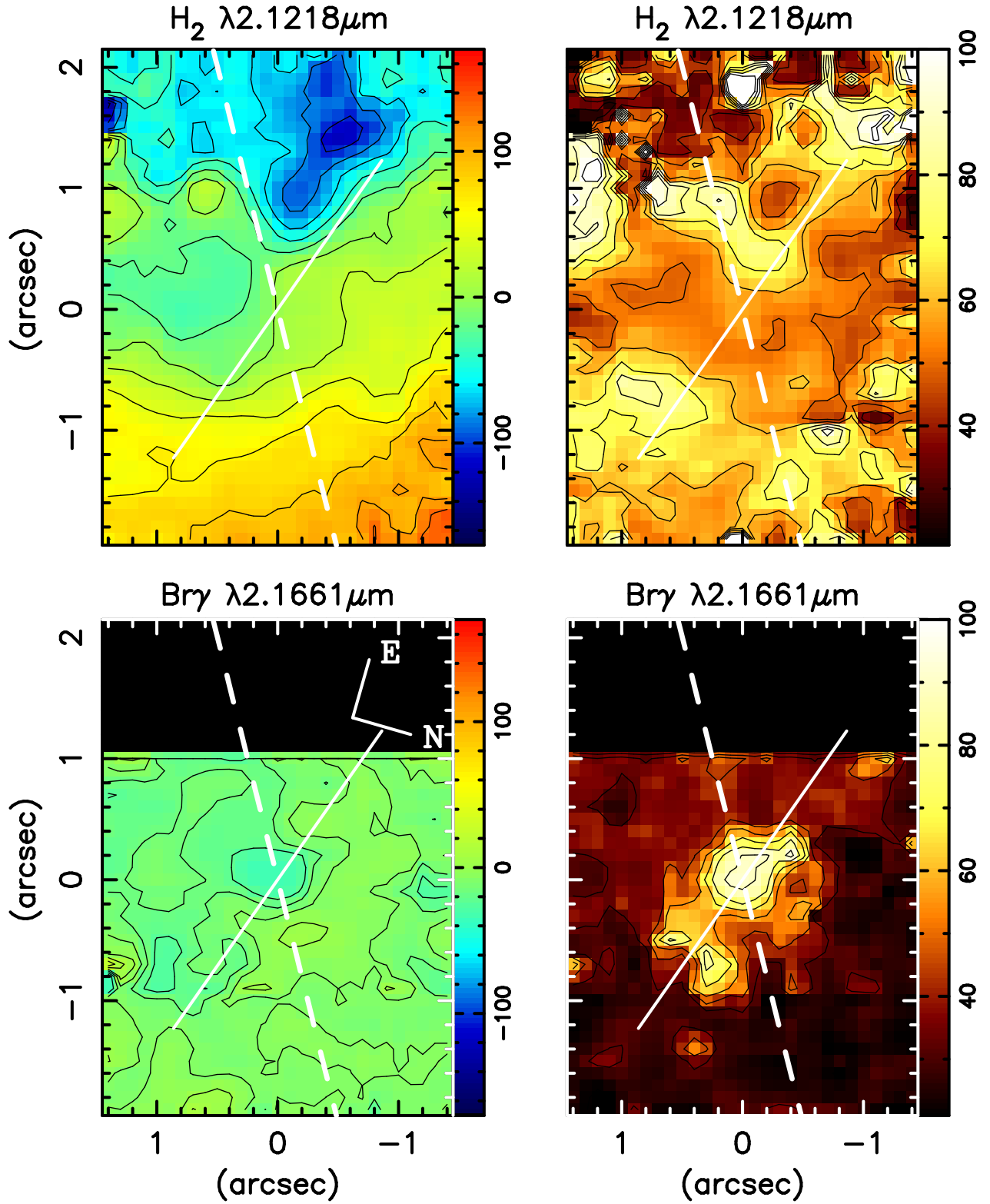
The stellar velocity dispersion values show patches with lower values ( $\approx 40\text{--}50 \text{ km s}^{-1}$ ) on top of a common background of values ranging from  $60$  to  $70 \text{ km s}^{-1}$ . A possible interpretation is that these patches are colder regions with more recent star formation than the underlying bulge. This is supported by optical spectra of the nuclear region which show clear signatures of intermediate age stars (Cid Fernandes et al. 2002). A very small  $\sigma$  drop is observed right at the nucleus, but we take this result with caution because of the obvious contamination of the spectra of the nuclear region by emission from dust and broad emission lines.

We can estimate the mass of the SMBH ( $M_{\text{BH}}$ ) from the bulge stellar velocity dispersion ( $\sigma_*$ ) as  $\log(M_{\text{BH}}/M_\odot) = \alpha + \beta \log(\sigma_*/\sigma_0)$ , where  $\alpha = 8.13 \pm 0.06$ ,  $\beta = 4.02 \pm 0.32$  and  $\sigma_0 = 200 \text{ km s}^{-1}$  (Tremaine et al. 2002). Adopting  $\sigma_* \approx 60 \text{ km s}^{-1}$  as representative of the bulge (top right panel of Fig. 3), we obtain  $M_{\text{BH}} = 1.1 \pm 0.3 \times 10^6 M_\odot$ . This value is in good agreement with those obtained by previous authors from reverberation mapping and scaling relations (Shemmer et al. 2003; Kaspi et al. 2000). For this mass, the radius of influence of the SMBH is  $\approx 1.3 \text{ pc}$ , thus not resolved at the spatial resolution of our data.

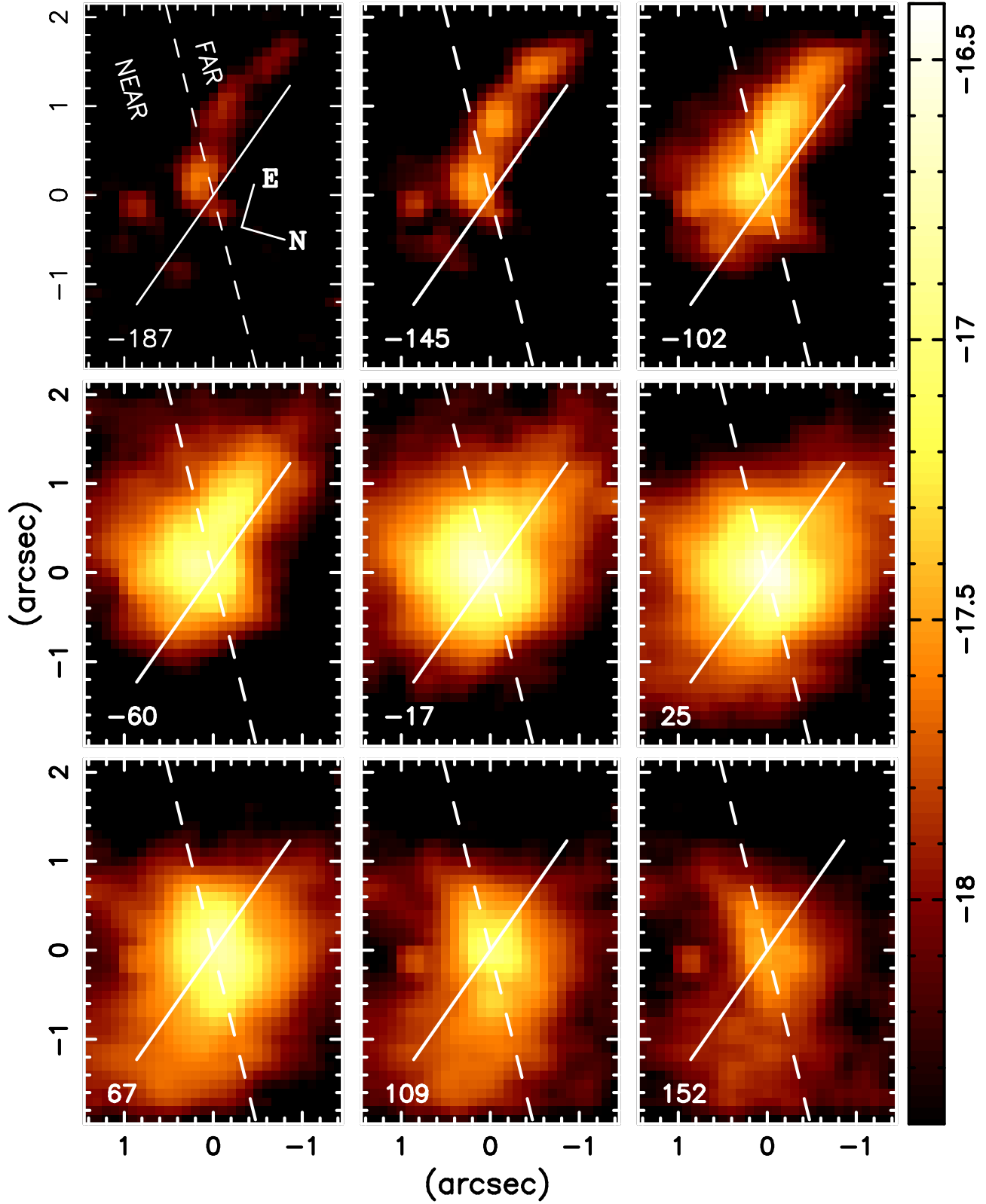
### 6.2 Gas Kinematics

The simultaneous observation of the stellar and gaseous kinematics allowed us to construct residual maps for the gaseous kinematics relative to the stellar kinematics model described in section 3.4. The residual map for the  $\text{H}_2$  emitting gas is presented in Fig. 10. The most conspicuous feature in this map is the elongated structure to the NE, which shows blueshifts of up to  $\approx -100 \text{ km s}^{-1}$ , also seen in the corresponding velocity slices in Fig. 8. These blueshifts could be either due to an inflow, if the gas is located in the plane, as the NE is the far side of the galaxy; or to an outflow, if the gas is extended to high latitudes (above the plane), e.g. in a conical structure oriented towards us. We discuss below these two possibilities.

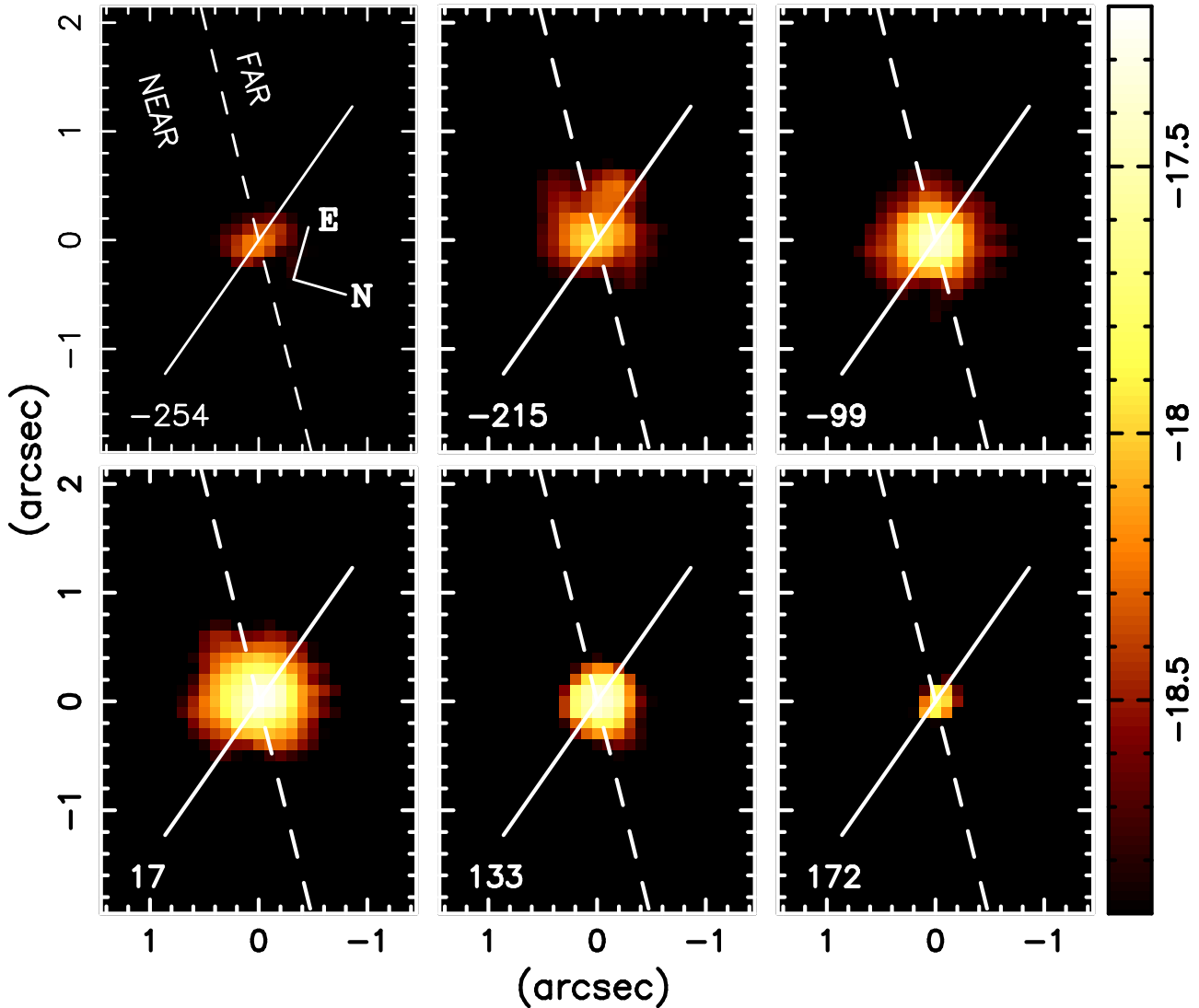
The outflow interpretation is supported by the following facts: (1) that the elongated NE structure is *approximately* oriented along the radio jet; (2) a *similar* structure



**Figure 7.** Left: Velocity field for the  $\text{H}_2$   $\lambda 2.1218 \mu\text{m}$  (top) and  $\text{Br}\gamma$  (bottom) emission lines. Right: Velocity dispersion maps for the same emission lines. The contours and lines are as described in Fig. 6, as well as the spatial scale.



**Figure 8.** Velocity slices along the H<sub>2</sub> profile with a velocity bin of  $\approx 42 \text{ km s}^{-1}$ . The cross marks the position of the nucleus and the intensity scale is logarithmic. The zero velocity is the systemic velocity obtained from the stellar kinematics modelling. The near and far side of the galaxy are indicated in the top left panel (under the assumption that the spiral arms are trailing).



**Figure 9.** Same as Fig. 8 for the  $[\text{Ca VIII}]$  emission-line profile.

and blueshifts have been observed by Barbosa et al. (2008, -hereafter B08) in IFU observations of  $[\text{S III}] \lambda 9069$  emitting gas, who found, in addition, redshifts to the SW, which appear to be due to a counterpart conical outflow related to the SW part of the radio-jet, probably located behind the galactic plane and being directed away from us; (3) the velocity dispersion and line ratio maps which show increased values in regions close to the tips of the radio jet.

The inflow interpretation is, on the other hand, supported by: (1) the fact that the orientation of the NE blueshifted region is not well aligned with the direction of the radio jet, but is shifted by  $\sim 0''.5$  to the S-SE (see Fig. 8); (2) the fact that the blueshifted region is elongated and curved as if it belonged to a spiral arm ending at the nucleus, while the blueshifted structure observed in the  $[\text{S III}] \lambda 9069$  emitting gas by B08 shows a more conical shape whose axis is better aligned with the direction of the radio jet; (3) the fact that there is no redshifted counterpart in  $\text{H}_2$  as observed for the  $[\text{S III}]$  emitting gas. There is instead a redshifted structure observed in the velocity slices (see Fig. 8) also curved

as belonging to a spiral arm in the near side (SW) of the galaxy.

Considering the arguments above we favour the inflow interpretation. The blueshifts to the NE and redshifts to the SW observed in the  $\text{H}_2$  emitting gas would then be due to inflow of molecular gas in the galaxy plane along nuclear spiral arms. The presence of such arms is supported by the structure map of the nuclear region of NGC 4051 presented by Simões Lopes et al. (2007), which shows similar spiral structure with the same curvature and orientation of the arms in an HST optical image of the nuclear region of NGC 4051. The structure map also shows a lot of obscuration against the near side of the galaxy, which would explain why the flux of the redshifted gas emission is fainter than that of the blueshifted gas on the far side of the galaxy. The enhancements in the gas velocity dispersions and increase in line emission ratios observed in  $\text{H}_2$  and  $\text{Br}\gamma$  emitting gas can be understood if the radio jet is launched at a relatively small angle to the galaxy plane compressing the circumnuclear ISM close to the nucleus.

In a recent study aimed at investigating the interaction between the radio jet and line emission in the NLR of the Seyfert galaxy ESO 428-G14 using GNIRS IFU data we found a close association of the [Fe II] and H I emission line distributions and kinematics with the radio emission distribution (Riffel et al. 2006), a result also found in some studies (eg. Falcke, Wilson & Simpson 1998; Bicknell et al. 2000; Tilak et al. 2005, B08), but also contested by others (eg. Kaiser et al. 2000; Das et al. 2005). In ESO 428-G14, Riffel et al. (2006) concluded that the H<sub>2</sub> kinematics was distinct from that in the other emission-lines: although part of the H<sub>2</sub> emitting gas was affected by interaction with the radio jet, most of it was located in the galaxy plane and was not affected by the radio jet. A less disturbed kinematics for H<sub>2</sub> than for other emission lines was also found in a near-IR study of the gas emission around the nuclei of the Seyfert galaxies NGC 2110 and Circinus (Storchi-Bergmann et al. 1999). These studies thus also support our favored interpretation in the present study: most of the H<sub>2</sub> emission is located in the plane and is not related to the outflow. It is instead, flowing towards the nucleus along spiral arms.

The Br $\gamma$  emitting gas is almost all at the systemic velocity as observed in the bottom-left panel of Fig. 7 and seems to show no rotation, which leads us to conclude that most of the Br $\gamma$  emitting gas is not restricted to the plane of the galaxy.

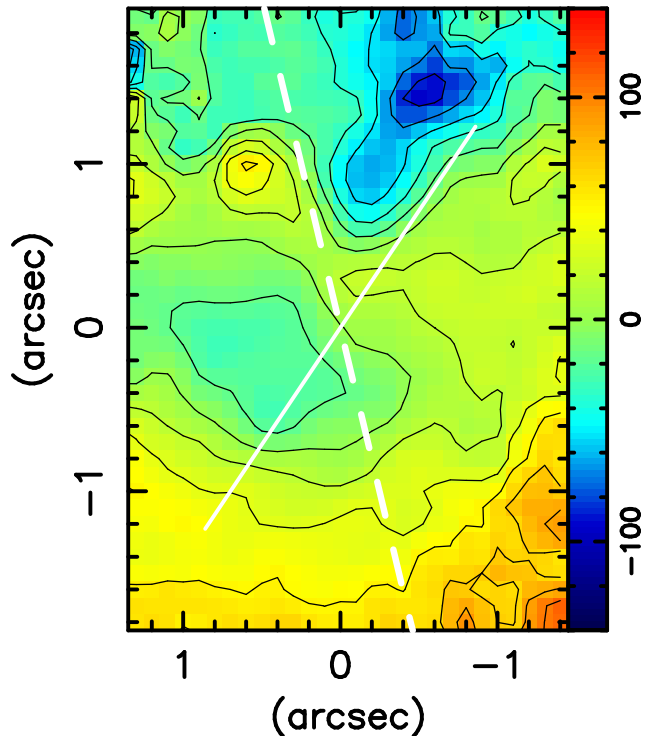
### 6.3 [Ca VIII] coronal emission

Coronal lines are forbidden transitions from highly ionized species which extend from the unresolved nucleus up to distances between a few tens to a few hundreds of parsecs, and usually present blue wings and are broader than low ionization lines (e.g. Rodríguez-Ardila et al. 2006, and references therein). Thus, it is possible to resolve the coronal line region for the closest active galaxies. In NGC 4051, the [Ca VIII] coronal emission line at 2.3211  $\mu$ m is indeed resolved, presenting extended emission in a circular region around the nucleus with diameter of  $\approx 75$  pc. Blueshifts of up to  $-250$  km s<sup>-1</sup> are observed very close to the nucleus (within the inner  $\approx 25$  pc), a value that is much higher than that observed for Br $\gamma$  and H<sub>2</sub> emission lines. The mean velocity dispersion is 150 km s<sup>-1</sup>, which is about 2–3 times higher than that obtained the other lines as well. This kinematics together with the compact flux distribution supports the interpretation that the coronal lines are produced close to the nucleus, and probably in the transition region between the BLR (broad-line region) and the NLR as suggested by previous authors. The spatial extent of the emission, the blueshifts and the  $\sigma$  values observed in NGC 4051 are similar to the ones obtained for the Seyfert 2 galaxy Circinus, while for more active galaxies such values tend to be higher (Rodríguez-Ardila et al. 2006).

### 6.4 Mass of the emitting gas

The mass of hot H<sub>2</sub> can be estimated as (e.g. Scoville et al. 1982):

$$M_{H_2} = \frac{2m_p F_{H_2\lambda 2.1218} 4\pi d^2}{f_{\nu=1,J=3} A_{S(1)} h\nu}$$



**Figure 10.** Difference between the H<sub>2</sub> and stellar kinematics. The white dashed line shows the orientation of the line of nodes and the full white line shows the PA of the radio emission.

$$= 5.0776 \times 10^{13} \left( \frac{F_{H_2\lambda 2.1218}}{\text{erg s}^{-1} \text{cm}^{-2}} \right) \left( \frac{d}{\text{Mpc}} \right)^2, \quad (3)$$

where  $m_p$  is the proton mass,  $F_{H_2\lambda 2.1218}$  is the line flux,  $d$  is the galaxy distance and  $M_{H_2}$  is given in solar masses. As proposed by Scoville et al. (1982), we assume a typical vibrational temperature of  $T_{vib} = 2000$  K, which implies a population fraction  $f_{\nu=1,J=3} = 1.22 \times 10^{-2}$  and a transition probability  $A_{S(1)} = 3.47 \times 10^{-7} \text{ s}^{-1}$  (Turner, Kirby-Docken & Dalgarno 1977). The line flux should be corrected for the intrinsic  $E(B - V)$ , but for NGC 4051,  $E(B - V)$  is small (Riffel, Rodríguez-Ardila & Pastoriza 2006) and thus we do not apply any correction. For the E–NE inflow region we obtain  $F_{H_2\lambda 2.1218} = 2.1 \times 10^{-15} \text{ erg s}^{-1} \text{cm}^{-2}$  integrated within the approximately triangular region which includes blueshifts larger than  $-100$  km s<sup>-1</sup> in Fig. 10 and obtain a mass of  $M_{H_2} \approx 9.3 M_\odot$ . Integrating now the H<sub>2</sub>  $\lambda 2.1218 \mu$ m emission over the same field where the Br $\gamma$  is observed (the central  $130 \times 135 \text{ pc}^2$ ) we obtain  $F_{H_2\lambda 2.1218} = 1.5 \times 10^{-14} \text{ erg s}^{-1} \text{cm}^{-2}$  and thus  $M_{H_2} \approx 66 M_\odot$ .

The above masses are small, but we point out that in the nuclear region of galaxies it has been shown that the hot-to-cold mass ratio ranges between  $10^{-7}$  to  $10^{-5}$  (Dale et al. 2005), suggesting that the total mass of molecular gas can be orders of magnitude larger than the value measured directly from the H<sub>2</sub> emission.

The mass of the ionized hydrogen can be estimated as  $M_{HII} = m_p N_e V_{HII}$ , where  $N_e$  is the electron density and  $V_{HII}$  is the volume of the emitting region. Replacing  $N_e V_{HII}$  by the expression given in Scoville et al. (1982) we obtain:

$$M_{HII} = 2.88 \times 10^{17} \left( \frac{F_{Br\gamma}}{\text{erg s}^{-1} \text{cm}^{-2}} \right) \left( \frac{d}{\text{Mpc}} \right)^2, \quad (4)$$

where  $M_{HII}$  is given in solar masses, and we assume an electron temperature  $T = 10^4$  K and electron density  $N_e = 100 \text{ cm}^{-3}$ . The total integrated  $Br\gamma$  flux is  $F_{Br\gamma} \approx 5.8 \times 10^{-15} \text{ erg s}^{-1} \text{ cm}^{-2}$  and thus  $M_{HII} \approx 1.4 \times 10^5 M_\odot$ . The mass of ionized gas is thus about 2000 larger than the mass of hot  $H_2$  gas.

Using the above calculated mass  $M_{H_2}$  for the E-NE blueshifted region we can estimate the flux of inflowing hot molecular gas under the assumption that this gas is streaming towards the nucleus. We calculate the hot  $H_2$  mass crossing a circular cross section by  $\dot{M}_{H_2} = 2m_p N_{H_2} v \pi r^2$ , where  $v$  is the inflowing velocity and  $r$  is the radius of the circular cross section. The maximum blueshift velocities occur at  $1''.2$  E-NE of the nucleus where the cross-section radius of the structure shown in Fig. 10 is estimated to be  $r = 0''.55 \approx 25 \text{ pc}$ . Assuming  $v = 100 \text{ km s}^{-1}$  and conical geometry to calculate the  $H_2$  density, we obtain  $\dot{M}_{H_2} = 2.5 \times 10^{21} \text{ g s}^{-1} \approx 4 \times 10^{-5} M_\odot \text{ yr}^{-1}$ . This rate is probably up to 2 times larger if we consider a similar inflow along the W-SW spiral arm, observed in redshift.

The mass accretion rate necessary to power the active nucleus can be estimated by  $\dot{M} = \frac{L_{bol}}{c^2 \eta}$ , where  $L_{bol}$  is the bolometric luminosity,  $c$  is the light speed and  $\eta$  is the efficiency of conversion of the rest mass energy of the accreted material into radiation. Assuming a typical value of  $\eta \approx 0.1$  and using  $L_{bol} = 2.7 \times 10^{43} \text{ erg s}^{-1}$  from Ogle et al. (2004) we obtain  $\dot{M} = 4.7 \times 10^{-3} M_\odot/\text{yr}$ . The  $H_2$  mass inflow rate calculated above is then about 100 times smaller than the accretion rate needed to power the AGN of NGC 4051, supporting additional contribution of cold non-emitting gas to the mass inflow rate.

## 6.5 $H_2$ excitation

The  $H_2$  lines can be excited by three distinct processes: fluorescent excitation through absorption of soft-UV photons (912–1108 Å) in the Lyman and Werner bands (Black & van Dishoeck 1987), excitation by X-ray heating (Maloney, Hollenbach & Tielens 1996) and excitation by shocks (Hollenbach & McKee 1989). The first is usually considered a non-thermal process while the other two are considered thermal processes. Several studies investigated the  $H_2$  excitation mechanisms (eg. Draine & Woods 1990; Veilleux, Goodrich & Wilson 1997; Quillen et al. 1999; Bellamy & Tadhunter 2004; Rodríguez-Ardila, Riffel & Pastoriza 2005; Riffel, Rodríguez-Ardila & Pastoriza 2006; Riffel et al. 2006; Zuther et al. 2007).

Quillen et al. (1999) have looked for correlations of the  $H_2$  emission with radio 6 cm and hard X-ray fluxes. They found no correlation with X-rays, suggesting X-rays heating is not the dominant  $H_2$  excitation mechanism, and found a weak correlation with radio 6 cm, suggesting that no single mechanism is likely to be responsible for the molecular hydrogen excitation in Seyfert galaxies. Draine & Woods (1990) propose that most of the  $H_2$  line emission originates in molecular gas which is heated by transient X-ray irradiation. Hard X-rays from the AGN also have been proposed by Wilman et al. (2000) and

Bellamy & Tadhunter (2004) as the dominant excitation mechanism of  $H_2$  emission in Cygnus A. Zuther et al. (2007) using the Maloney, Hollenbach & Tielens (1996) X-rays excitation models found that the X-ray emission can account for some  $H_2$  excitation for the starburst/Seyfert galaxy Mrk 609. For the case of ESO428-G14 we concluded that shocks produced by a radio jet play a fundamental role in the kinematics and excitation of the  $H_2 \lambda 2.1218$  emission line in regions co-spatial with the radio emission and X-rays are important in regions away from the radio structures (Riffel et al. 2006).

The  $H_2 \lambda 2.2477/\lambda 2.1218$  line ratio is commonly used to distinguish between thermal ( $\sim 0.1$ – $0.2$ ) and fluorescent ( $\sim 0.55$ ) excitation mechanisms for the  $H_2$  emitting gas (Mouri 1994; Reunanen, Kotilainen & Prieto 2002; Rodríguez-Ardila, Riffel & Pastoriza 2005), while the  $H_2 \lambda 2.0338/\lambda 2.2235$  ratio is used to determine the thermal excitation temperature. The  $H_2 \lambda 2.2477 \mu\text{m}$  emission line is only marginally detected in our individual spectra, so we integrated the flux of this line over the whole IFU field obtaining  $H_2 \lambda 2.2477/\lambda 2.1218 \approx 0.12 \pm 0.02$  indicating that the emitted  $H_2$  is excited by thermal processes.

The rotational and vibrational temperatures for the molecular gas can also be used to distinguish between thermal and fluorescent excitation: for thermal excitation the vibrational and rotational temperatures must be similar and for fluorescent excitation the vibrational temperature must be higher than the rotational temperature – non-local UV photons overpopulate the highest energy levels compared to the population distribution expected for a Maxwell-Boltzmann distribution. The rotational temperature can be obtained by  $T_{rot} \cong -1113/\ln(0.323 \frac{F_{H_2 \lambda 2.0338}}{F_{H_2 \lambda 2.2235}})$  and the vibrational temperature by  $T_{vib} \cong 5600/\ln(1.355 \frac{F_{H_2 \lambda 2.1218}}{F_{H_2 \lambda 2.2477}})$  (Reunanen, Kotilainen & Prieto 2002). For NGC 4051 we obtain  $H_2 \lambda 2.0338/\lambda 2.2235 = 1.8 \pm 0.28$  and  $H_2 \lambda 2.1218/\lambda 2.2477 = 8.4 \pm 1.02$ , thus  $T_{rot} = 2052^{+746}_{-488}$  K and  $T_{vib} = 2305^{+157}_{-120}$  K. The similarity of these two values reinforce thermal processes as the dominant excitation mechanism of the molecular hydrogen. The value obtained for the vibrational temperature supports the assumption ( $T_{vib} = 2000$  K) used in equation 3 to obtain the hot  $H_2$  mass.

In order to test if X-ray emission can account for the excitation of the  $H_2 \lambda 2.1218 \mu\text{m}$  emission line for NGC 4051 we have used the models of Maloney, Hollenbach & Tielens (1996) to estimate the emergent  $H_2$  flux of a gas cloud illuminated by a source of hard X-rays with an intrinsic luminosity  $L_X$ . The cooling is given by the effective ionization parameter  $\xi_{eff}$  (Zuther et al. 2007):

$$\xi_{eff} = 1.26 \times 10^{-4} \frac{f_X}{n_5 N_{22}^{0.9}}, \quad (5)$$

where  $f_X$  is the incident hard X-ray flux at the distance  $d[\text{pc}]$  from the X-ray source,  $n_5[10^{-5} \text{ cm}^{-3}]$  is the total hydrogen gas density, and  $N_{22}[10^{22} \text{ cm}^{-2}]$  is the attenuating column density. Using  $f_X = 2.3 \times 10^{-11} \text{ erg cm}^{-2} \text{ s}^{-1}$  and  $N_H = 1.32 \times 10^{20} \text{ cm}^{-2}$  obtained from the ASCA Tartarus database we can calculate  $\xi_{eff}$ . Maloney, Hollenbach & Tielens (1996) calculate emergent fluxes for two gas densities,  $10^5 \text{ cm}^{-3}$  and  $10^3 \text{ cm}^{-3}$ . We calculate the effective ionization parameter for three



**Table 1.** Comparison of the observed  $\text{H}_2$   $\lambda 2.1218 \mu\text{m}$  fluxes and calculated using models of Maloney, Hollenbach & Tielens (1996) for an aperture of  $0''.1 \times 0''.1$  for hydrogen densities  $n = 10^5 \text{ cm}^{-3}$  and  $n = 10^3 \text{ cm}^{-3}$ .

	Observed	$n = 10^5 \text{ cm}^{-3}$		$n = 10^3 \text{ cm}^{-3}$	
$d$	$\log(F_{\text{H}_2})$	$\log(\xi_{\text{eff}})$	$\log(F_{\text{H}_2})$	$\log(\xi_{\text{eff}})$	$\log(F_{\text{H}_2})$
25	-16.4	-1.7	-14.7	—	—
50	-17.0	-2.3	-17.2	-0.3	-15.8
75	-17.3	-2.7	-17.0	-0.7	-15.7

different distances of the AGN (25, 50 and 75 pc), and for the same gas densities of Maloney, Hollenbach & Tielens (1996). Using this and their Fig. 6(a,b) we can obtain the emergent intensity of the  $\text{H}_2$   $\lambda 2.1218 \mu\text{m}$  emission line. In Table 1 we present the calculated effective ionization parameter and the emergent flux for an aperture of  $0''.1 \times 0''.1$  – corresponding to a solid angle  $2.34 \times 10^{-13} \text{ sr}$  – in  $\text{erg cm}^{-2} \text{ s}^{-1}$ . In this table we also present the observed  $\text{H}_2$   $\lambda 2.1218 \mu\text{m}$  obtained by the average of the  $\text{H}_2$  flux in a ring with radius  $d$  and width of  $0''.2$ .

By comparing the emergent fluxes from Table 1 obtained by X-ray heating models with the observed fluxes we conclude that excitation by X-ray heating can account for most of the observed  $\text{H}_2$  flux.

Another line ratio commonly used to investigate the  $\text{H}_2$  excitation is  $\text{H}_2 \lambda 2.1218 / \text{Br}\gamma$ . In starburst galaxies, where the main heating agent is UV radiation,  $\text{H}_2 / \text{Br}\gamma < 0.6$  (Rodríguez-Ardila, Riffel & Pastoriza 2005), while for Seyferts this ratio is larger ( $0.6 < \text{H}_2 / \text{Br}\gamma < 2$ ) because of additional  $\text{H}_2$  emission excited by shocks or by X-rays from the AGN (Rodríguez-Ardila, Riffel & Pastoriza 2005). As observed in the bottom-right panel of Fig. 6, NGC 4051 presents  $\text{H}_2 / \text{Br}\gamma = 1 \pm 0.2$  at the nucleus, which is a typical value for AGNs. The highest values reach  $\text{H}_2 / \text{Br}\gamma = 8 \pm 1.9$  and are observed E-NE and to the W-SW of the nucleus, close to the tips of the radio jet, supporting some contribution of shocks by the radio jet to the excitation of the molecular hydrogen in these regions. On the other hand, the interpretation of this line ratio should be considered with caution due to the fact that the bulk of  $\text{H}_2$  emission seems to originate from the disk while the  $\text{Br}\gamma$  emission seems not to be restricted to the disk.

## 7 CONCLUSIONS

We have analysed two-dimensional near-IR  $K$ -band spectra from the inner  $\approx 150 \text{ pc}$  of the Narrow Line Seyfert 1 galaxy NGC 4051 obtained with the Gemini NIFS integral field spectrograph at a sampling of  $4.5 \times 4.5 \text{ pc}^2$  at the galaxy and spectral resolution of  $\approx 3 \text{ \AA}$ . We have mapped the stellar and gaseous kinematics, and the emission-line flux distributions and ratios of the molecular and ionized hydrogen. The main conclusions of this work are:

- The turnover of the stellar rotation curve is at only  $\approx 55 \text{ pc}$  from the nucleus, suggesting that the stellar motions are dominated by a highly concentrated gravitational potential, a result confirmed by modeling using a Plummer gravitational potential, as we obtain a small scale length,  $A \approx 39 \text{ pc}$ . This result supports the findings of Barbosa et al.

(2006) based on optical data. The mean velocity dispersion of the bulge ( $\sim 60 \text{ km s}^{-1}$ ) implies a SMBH mass of  $\sim 10^6 M_\odot$ . Within the bulge, we find patches of lower velocity dispersions, which we attribute to recent star formation.

- The gas kinematics is distinct for each emission line: the  $\text{Br}\gamma$  emission line shows velocities restricted to within  $\sim 30 \text{ km s}^{-1}$  from systemic, without evidence of bulk motions, suggesting that the ionized gas is not restricted to the galactic plane, while much larger blueshifts and redshifts are observed for both the  $[\text{Ca VIII}]$  and  $\text{H}_2$  emitting gas. The  $\text{Br}\gamma$  velocity dispersion is small ( $\sim 40 \text{ km s}^{-1}$ ) over most of the field, except around the nucleus at a location close to the SW tip of the radio jet, suggesting some energy injection in the gas by interaction with the radio jet.

- The  $[\text{Ca VIII}]$  coronal emission line is compact but resolved, extending over a circular region of radius  $\approx 35 \text{ pc}$  around the nucleus. It also presents the largest velocity blueshifts ( $-250 \text{ km s}^{-1}$ ) and velocity dispersion ( $150 \text{ km s}^{-1}$ ) of the emission lines studied here, supporting an origin close to the active nucleus, possibly in the transition region between the BLR and the NLR.

- The  $\text{H}_2$  emitting gas seems to be mostly restricted to the galaxy plane. The most conspicuous kinematic features are a curved elongated blueshifted structure to the NE, interpreted as gas inflow along a nuclear spiral arm in the far side of the galaxy, and a curved, redshifted structure to the SW, interpreted as gas inflow along a nuclear spiral arm in the near side of the galaxy. Estimates of the mass inflow rate in hot  $\text{H}_2$  gives  $\dot{M}_{\text{H}_2} \approx 4 \times 10^{-5} M_\odot \text{ yr}^{-1}$ , which is  $\sim 100$  times smaller than the nuclear accretion rate necessary to power the active nucleus of NGC 4051, supporting the presence of additional inflow of cold non-emitting gas. This is not the first time we have been able to map inflows towards an active nucleus along nuclear spiral arms. In two previous studies we (Fathi et al. 2006; Storchi-Bergmann et al. 2007) have mapped streaming motions towards the active nucleus along nuclear spirals in the galaxies NGC 1097 and NGC 6951 although in ionized gas. As nuclear spirals are ubiquitous around active galactic nuclei (Simões Lopes et al. 2007; Prieto, Maciejewski & Reunanen 2005), such inflows seem to be an “universal” mechanism to bring gas inwards to feed the SMBH within the inner few hundred parsecs of the galaxy.

- The total mass of hot  $\text{H}_2$  is estimated to be of the order of  $66 M_\odot$ , while that of  $\text{H II}$  is estimated to be  $1.4 \times 10^5 M_\odot$ .

- From the  $\text{H}_2$  line ratios we conclude that  $\text{H}_2$  is excited by thermal processes – heating by X-rays from the AGN and shocks produced by the radio jet. We conclude, based in X-ray excitation models of Maloney, Hollenbach & Tielens (1996) that X-ray heating can account for the observed emission, but the  $\text{H}_2 \lambda 2.1218 \mu\text{m} / \text{Br}\gamma$  line ratio supports some contribution from shocks in the regions where the radio jet interacts with the  $\text{H}_2$  emitting gas.

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